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### P TENT COOPERATION TREE

#### From the INTERNATIONAL BUREAU

#### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

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Commissioner
US Department of Commerce
United States Patent and Trademark
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2011 South Clark Place Room
CP2/5C24

Arlington, VA 22202 ETATS-UNIS D'AMERIQUE

| Date of mailing:<br>10 January 2002 (10.01.02)          | ETATS-UNIS D'AMERIQUE in its capacity as elected Office |  |  |
|---|---|--|--|
| International application No.: PCT/GB00/03280           | Applicant's or agent's file reference: P24404/PPP       |  |  |
| International filing date:<br>29 August 2000 (29.08.00) | Priority date: 28 August 1999 (28.08.99)                |  |  |
| Applicant:<br>STRACHAN, John, Scott                     |   |  |  |

| 1. | The designated Office is hereby notified of its election made:  |
|----|---|
|    | X in the demand filed with the International preliminary Examining Authority on:  |
|    | 27 February 2001 (27.02.01)   |
|    | in a notice effecting later election filed with the International Bureau on:  |
| 2. | The election X was  |
|    | was not   |
|    | made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b). |
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The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Authorized officer:

J. Zahra

Telephone No.: (41-22) 338.83.38

# TENT COOPERATION TREAT

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# COMMUNICATION OF INTERNATIONAL APPLICATIONS

(PCT Article 20)

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04 December 2001 (04.12.01)

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The International Bureau transmits herewith copies of the international applications having the following international application numbers and international publication numbers:

International application no.:

International publication no.:

PCT/GB00/03280

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Facsimile No.: (41-22) 740.14.35

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### TENT COOPERATION TREAT

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Telephone No. (41-22) 338.83.38

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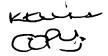
PACITTI, Paolo Murgitroyd & Company 373 Scotland Street Glasgow G5 8QA ROYAUME-UNI

| Date of mailing (day/month/year) 03 December 2001 (03.12.01)  |   |
|---|---|
| Applicant's or agent's file reference   | REPLY DUE see paragraph 1 below                                   |
| P24404/PPP  |   |
| International application No.   | International filing date (day/month/year)                        |
| PCT/GB00/03280  | 29 August 2000 (29.08.00)   |
| Applicant STRACHAI  | N, John, Scott  |
| REPLY DUE within months/days from the   | above date of mailing   |
| NO REPLY DUE, however, see below  |   |
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| 2. COMMUNICATION:   |   |
| The International Bureau regrets to inform the identified international application has not bee 18 months from the priority date, as provided in the priority date. | • • • • • •   |
| International publication will now take place of  | on 10 January 2002 (10.01.02).                                    |
| Meanwhile, the International Bureau will comeach designated Office, in accordance with PC   | municate a copy of the international application to T Article 20. |
| A copy of this notification has been sent to the Offices.   | receiving Office RO/US and all designated                         |
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#### REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

| For receiving Office use only                              |
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| PCT/GB 00 / 03280  |
| International Application No.                              |
| 29 AUG 2000 29.08.2009                                     |
| International Filing Date                                  |
| United Kingdom Patent Office PCT International Application |

Name of receiving Office and "PCT International Application" Applicant's or agent's file reference ATITLE has changes (if desired) (12 characters maximum) P24404/PPP بعددهام "Molecular Resonance" 🥎 Box No. II **APPLICANT** Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) This person is also inventor. STRACHAN John Scott Telephone No. 6 Marchhall Crescent **EDINBURGH** Facsimile No. **EH16 5HN** GB Teleprinter No. State (that is, country) of nationality: State (that is, country) of residence: GB GB all designated States This person is applicant all designated States except the United States of America the United States the States indicated in the Supplemental Box X for the purposes of: Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S) Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) This person is: of residence is indicated below.) applicant only applicant and inventor inventor only (If this check-box is marked, do not fill in below.) State (that is, country) of nationality: State (that is, country) of residence: This person is applicant all designated all designated States except the United States of America the United States of America only the States indicated in the Supplemental Box for the purposes of: Further applicants and/or (further) inventors are indicated on a continuation sheet. Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE The person identified below is hereby/has been appointed to act on behalf agent common representative of the applicant(s) before the competent International Authorities as: Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.) Telephone No. **PACITTI Paolo** 0141 307 8400 Murgitroyd & Company Facsimile No. 373 Scotland Street 0141 307 8401 **GLASGOW G5 8QA** Teleprinter No. 6B4 Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the

space above is used instead to indicate a special address to which correspondence should be sent.

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| ⊠           | EP                  | Eur pean Patent: AT Austria, BE Belgiu<br>Germany, DK Denmark, ES Spain, FI Fin<br>IT Italy, LU Luxembourg, MC Monaco, I<br>which is a Contracting State of the Europe | m, CH<br>lland,<br>NL Ne<br>an Pat | I and LI Sy<br>FR France,<br>therlands, P<br>ent Convent | vitzerland and Liechtenstein, CY Cyprus, DE<br>GB United Kingdom, GR Greece, IE Ireland,<br>T Portugal, SE Sweden and any other State<br>ion and of the PCT |
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Sheet No 3

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| request   | : 3                               |                           | 1. The fee calc                      |  |  |                        |  |
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| Date of actual international a  | ipplication:                      |                           | 29                                   | AUG 2000 📿   | 9.08.20                                    | <u> </u>               | 2. Drawings:   |
| 3. Corrected date timely receive the purported  | d papers or di                    | awings c                  | ompleting                            |  |  |                        | received:  |
| 4. Date of timely corrections un  |                                   |                           |                                      |  |  |                        | not received:  |
| 5. International S<br>(if two or more   | Searching Aut<br>e are compete    | hority<br>nt): IS         | A /                                  | 6. Tra   | nsmittal of search<br>I search fee is paid | copy delayed<br>i.     |  |
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13 SEPTEMBER 2000

( 1 3, 09, 00 )

| 2   |   |
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| 3   | The present invention relates to molecular resonance  |
| 4   | of molecules, in particular molecular resonance       |
| 5   | generated by laser radiation.                         |
| 6   |   |
| 7   | The concept of introducing high Q molecules that may  |
| 8   | be stimulated by laser light to deliver toxic or      |
| 9   | therapeutic effects is known from Dunlavy US5313315.  |
| 10  | However, the direct stimulation of natural biological |
| 11  | processes by means of molecular resonance using       |
| 12  | modulated or selective wavelength lasers has hitherto |
| 13  | proved to be impossible. This is because of the       |
| 14  | scattering nature of the medium, the close proximity  |
| 1,5 | of many resonances in natural molecules and the       |
| 16  | difficulty of differentially raising the temperature  |
| 17  | and thereby the reactivity of individual desired      |
| 18  | molecules.  |
| 19  |   |

Molecular Resonance

- 1 The present invention defines an apparatus and method
- 2 which overcomes some of these problems and covers the
- 3 nature and type of molecule susceptible to
- 4 differential stimulation.

- 6 Many critical chemical reactions in the body are
- 7 functions of the Cell Surface Cell Adhesion Molecules
- 8 that are in turn moderated by various integrins. The
- 9 geometric structure of many Cell Adhesion Molecules
- 10 and particular integrins is such that they are
- 11 capable of supporting a resonance at relatively low
- 12 frequency and surprisingly high Q. Unlike most
- 13 protein structures which are heavily damped or
- 14 inherently rigid in structure these molecules
- 15 generally take the form of a pair of relatively rigid
- 16 structures separated by space often bridged by a
- 17 single strand. This structure is especially sensitive
- 18 to periodic stimulation by a laser source especially
- 19 when the molecule surface is neutral or slightly
- 20 negatively charged. The polar and hydrophobic regions
- 21 of the molecule also differentially absorb energy
- 22 from laser light. This causes brief alterations in
- 23 both the structural bond energy and consequently
- 24 tends to amplify the vibration of the molecule. The
- 25 effect of this is to slightly increase the chemical
- 26 reactivity of particular molecules on a cell surface
- 27 relative to the surrounding molecules of a more
- 28 generally damped structure or other high Q molecules
- 29 of a different resonant frequency.

- 1 In vivo the scattering of light at suitable
- 2 excitation wavelengths is extreme and as a result
- 3 even quite low frequency modulation signals tend to
- 4 be corrupted by the multiple scatter path lengths and
- 5 by the delay in absorption and release of photons in
- 6 those atoms at low energy states.

- 8 Also if continuous laser radiation is delivered to a
- 9 mass of cells the high damping factor of the
- 10 structure means that in general the overall
- 11 temperature of the cell mass rises. This occurs even
- 12 if modulated at the resonant frequency of a
- 13 particular molecule. The use of laser radiation in
- 14 this way produces an increase in the reactivity of
- 15 the entire cell surface which means that no actual
- 16 change in the reaction products occur because the
- 17 cells are in general, at equilibrium.

18

- 19 Conversely if very low energy is delivered at the
- 20 resonance frequency of the cell adhesion molecules or
- 21 if energy can be delivered as an intermittent pulse
- 22 of extremely short duration, the cell adhesion
- 23 molecules and the integrins with their inherently
- 24 high Q structure tend to maintain a slightly higher
- 25 temperature than the surrounding molecules. Thus the
- 26 cell adhesion molecules can be stimulated to a
- 27 greater reactivity than the surrounding surface
- 28 molecules.

| 1  | Many biological processes can be disturbed into a     |
|----|---|
| 2  | cascade of increasing reactivity if an initial        |
| 3  | response is initiated. The immune response is a       |
| 4  | powerful example of this but the nature of biological |
| 5  | reactions on the cell surface means that similar      |
| 6  | cascade reactions occur for a wide variety of initial |
| 7  | conditions disturbed from equilibrium. Thus a very    |
| 8  | small change in the reactivity of a surface molecule  |
| 9  | for a short time can result in a dramatic change in   |
| 10 | the chemistry of the cell surface for a considerable  |
| 11 | period after the stimulation.                         |
| 12 |   |
| 13 | This effect depends on the cell chemistry being       |
| 14 | substantially in equilibrium at the commencement of   |
| 15 | the delivery of the radiation, otherwise the          |
| 16 | resonance effect will tend to be swamped by the       |
| 17 | current dominant reaction. Thus the target cells must |
| 18 | be in a relatively neutral pH environment and         |
| 19 | obviously not engaged in a vigorous metabolic         |
| 20 | process. Ideally also the cell surface molecule would |
| 21 | be neutral or slightly negative as this increases the |
| 22 | absorption of photons and so increases the transfer   |
| 23 | of energy from the laser to the molecule.             |
| 24 |   |
| 25 | Although this limits the use of this method, it has   |
|    |   |

one beneficial effect with respect to therapeutic use 26 in carcinomas. The undifferentiated cells of a 27

carcinoma are generally at equilibrium on the surface 28

as most of the chemical energy of the cell is 29

- 1 expended internally in the cell duplication process.
- 2 This means that the undifferentiated cells of a
- 3 carcinoma are particularly susceptible to the effect
- 4 of the method on the surface chemistry since by their
- 5 nature they conform to the ideal requirements for low
- 6 energy disturbance of the equilibrium.

- 8 It is a critical requirement of this effect that the
- 9 initial stimulation is periodic and of very low
- 10 overall energy, as higher energy stimulation would
- 11 merely raise the temperature of the entire cell by
- 12 conduction and would not change the reaction
- 13 equilibrium. To achieve such a change, individual
- 14 molecules on the cell surface must be at different
- 15 temperatures. Ideally it would consist of small,
- 16 directed bursts of light modulated at the frequency
- 17 of the desired molecule. Unfortunately it is clearly
- 18 impossible to direct such a beam in the highly
- 19 scattering medium of a living human body.

- 21 If a conventional laser or simple light beam is
- 22 directed at a highly scattering medium, the
- 23 modulation is eliminated at any substantial frequency
- 24 because the light paths to any given point are so
- 25 numerous and of such differing lengths that any
- 26 modulation is reduced to noise after a few
- 27 millimetres of the scattering medium. Even at lower
- 28 frequencies the general level of overall energy
- 29 delivered to the cells means that conduction and

convection tend to raise the overall temperature of 1 the cell surface rather than allow isolated 2 temperature differences to exist for any useful 3 length of time. Further it is impractical to generate 4 5 a light pulse which is of sufficiently short duration 6 and with a sufficiently high pulse repetition 7 frequency to be of practical use in the stimulation 8 of any resonance of a Q likely to occur in a living cell surface molecule. 9 10 11 This invention provides a means of differentially 12 stimulating at least those molecules susceptible by their structure to resonant stimulus. 13 14 The invention and preferred features thereof are 15 16 defined in the appended claims. 17 Embodiments of the invention will now be described, 18 19 by way of example only, with reference to the 20 drawings, in which: 21 22 Fig. 1 is a block diagram of an apparatus 23 embodying the invention; 24 Fig. 2 illustrates an interference pattern

Fig. 3 shows the same interference in a scattering

produced by the apparatus of Fig. 1;

25

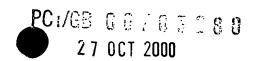
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medium;

- 1 Figs. 4 and 5 show typical cell adhesion
- 2 molecules;
- 3 Fig. 6 shows a human integrin molecule with a
- 4 single substantial high Q resonance;
- Fig. 7 shows the zinc structure of the GAG protein
- 6 in the HIV virus; and
- 7 Fig. 8 shows a typical laser diode spectrum.

- 9 Referring to Fig. 1, the apparatus comprises a laser
- 10 diode 2 which is controlled by an amplitude modulator
- 11 1. The laser diode 2 is selected to have a
- 12 reasonably linear relationship between current and
- 13 wavelength with minimum mode hopping. The amplitude
- 14 modulator 1 modulates the current to the laser diode
- 15 2which in turn results in a very small wavelength
- 16 modulation of the laser, for purposes discussed
- 17 below.
- 18 The output of the laser diode 2 is collimated by a
- 19 lens 3 and passed to an optical element 4. The
- 20 optical element 4 consists of a first diffraction
- 21 grating, a refractive element, and a second
- 22 diffraction grating such that the beam is
- 23 substantially cancelled. A preferred form of the
- 24 optical element 4 is as disclosed in WO97/22022 (now
- 25 EP-A1-0865618A and US-A-6064500). This allows the
- 26 cancellation to occur over a small percentage of the
- 27 wavelength variance of the laser source, rather than
- 28 at a single critical wavelength. Wavelengths beyond
- 29 the acceptance bandwidth of the cancelling optic 4



- 1 above and below the centre frequency pass without
- 2 being cancelled. This means that a complex Fresnel /
- 3 Fraunhoffer zone will be generated, defined by the
- 4 beat frequency of the high and low frequencies as a
- 5 function of the aperture. This means that relatively
- 6 sparse zones of constructive interference will occur
- 7 between the high and low frequency passes of the
- 8 cancellation element in selected directions from the
- 9 aperture, as shown in Fig. 2.

10

- 11 As seen in Fig. 1, the optical element can be
- 12 adjusted angularly between positions 4A and 4B. This
- 13 varies the ratio of constructive to destructive
- 14 interference.

15

- 16 In effect the continuous beam is transformed into a
- 17 string of extremely short duration pulses typically
- 18 of sub femto second duration. The small wavelength
- 19 modulation of the laser diode 2 causes the
- 20 constructive and destructive nodes to move rapidly
- 21 through the volume of the Fresnel zone of the
- 22 collimator lens aperture. This has the effect of
- 23 simulating very short (sub picosecond) pulse
- 24 behaviour at any point in the Fresnel zone through
- 25 which the nodes pass at a pulse repetition frequency
- 26 defined by the amplitude modulator frequency.

- 28 The wavelength of the cancellation and constructive
- 29 interference zones for a theoretical single path

would be the difference between the two frequencies. 1 If the bandwidth of the cancelling element is narrow 2 this difference is very small and the effective 3 4 wavelength of the cancelled / non-cancelled cycle would be very long, of the order of pico-seconds. 5 6 Therefore, the system would behave substantially 7 similarly to a system with no cancellation because it requires an aperture much larger than the primary 8 9 light wavelength to generate a useful Fresnel / Fraunhoffer zone. Such an aperture would greatly 10 multiply the available Feynman diagram paths 11 12 eliminating any useful effect, even if it were 13 possible to generate a sufficiently coherent source 14 of such an aperture. 15 If the beat frequency can be made high enough the 16 17 wavelength of the cancelled to non-cancelled cycle 18 can be a fraction of a practical aperture. This will make this wavelength sufficiently small to limit the 19 20 Feynman paths to within a cycle or two in free space allowing the Fresnel / Fraunhoffer effect to be 21 apparent. Since the centre frequency and spectrum 22 23 spread of a laser diode is easily modulated by 24 adjusting the current and or temperature of the

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25

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28

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junction, the pattern of the Fresnel / Fraunhoffer

zones can be varied dramatically by very small

variations in the wavelength of one or both pass

frequencies. Such modulation is produced in the

apparatus of Fig. 1 by the amplitude modulator 2.

- 1 Ideally the diode is modulated only slightly so that
- 2 the frequencies of the laser spectra move by an
- 3 amount smaller than that which would cause a second
- 4 lobe to spill outside the bandpass of the
- 5 cancellation element. As described above the aperture
- 6 of the apparatus has a dimension some substantial
- 7 multiple of the wavelength of the laser and some
- 8 significantly smaller multiple of the cancellation
- 9 cycle. Thus the number of different Feynman diagram
- 10 path lengths will be substantially less than infinite
- 11 for any given cycle length. Thus as different rays
- 12 from the laser take slightly different paths through
- 13 the optical element and thereafter cause the complex
- 14 Fraunhoffer zone within the beam the pattern
- 15 generated is the inverse of a typical narrow spectrum
- 16 Fraunhoffer zone.

- 18 Therefore, instead of the centre frequencies of the
- 19 beam being in general uncancelled, the centre
- 20 frequencies are totally cancelled. Thus instead of a
- 21 general constant level of light in the beam, the beat
- 22 frequency beam is characterised by isolated
- 23 relatively sparse "islands" of constructive
- 24 interference occurring in the generally cancelled
- 25 beam. Small variations in the centre frequency of the
- 26 laser as a result of modulation of the current or
- 27 temperature of the diode cause these islands of
- 28 constructive interference to move rapidly within the
- 29 beam.

- 1 Thus at any given point within the beam path, a
- 2 constructive interference node can be made to
- 3 modulate with respect to the modulation frequency of
- 4 the laser, irrespective of the scattering of the path
- 5 to that point. This is because few areas of
- 6 constructive interference exist in the initial beam
- 7 and while a constructive node can occur at any point
- 8 which happens to have suitable path lengths through
- 9 the scattering medium to the source, the initially
- 10 cancelled portion of the beam can not be
- 11 reconstructed to become a constructive node at any
- 12 point. Since the modulation of the laser changes the
- 13 locations of the constructive nodes at the modulation
- 14 frequency of the laser the result is that for any
- 15 point (or more accurately for the substantial
- 16 majority of points) within the beam a modulation
- 17 occurs irrespective of the scattering nature of the
- 18 medium. This is because the probability of a scatter
- 19 from one sparse node to a region where another sparse
- 20 node has existed within frequency of the modulation
- 21 is extremely low.

- 23 In a typical coherent beam, the presence of
- 24 constructive or destructive interference is of equal
- 25 likelihood and the modulation of the beam will
- 26 generally shift one constructive node only to be
- 27 replaced by another causing any initial modulation of
- 28 the beam to swamped by the noise of the multiple
- 29 paths. In contrast, the limiting factor for the
- 30 modulation frequency of a sparse constructive

interference beam is simply that the overall maximum 1 2 path length of any substantial probability in the Feynman diagram. Path length is substantially shorter 3 4 than the wavelength of the modulation. 5 For a depth of five or six centimetres in human 6 tissue this allows frequencies in excess of 10 MHz to 7 8 be successfully modulated and in many human tissues such as bone or neural tissue the depth would be 9 10 substantially greater or the limiting frequency 11 higher. 12 A conventional coherent or incoherent beam would have 13 14 high probability paths in the Feynman diagram. These paths would overlap at very low frequencies (kHz) and 15 16 be of little practical use in the stimulation of molecular resonance. It should be noted however that 17 18 the phenomena described above may be used as a means 19 to multiply the modulation frequency, up to the point 20 where the beam effectively becomes continuous. Thus 21 by careful selection of the aperture, the region of 22 the beam selected for transmission through the medium 23 and the modulation frequency it is possible to cause the constructive nodes to pass across any given point 24 in the beam at frequencies many times higher than the 25 modulation frequency. In ideal conditions the 26

duration of exposure to a constructive node of any point would be for a period equivalent to a quarter

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of the duration of a wavelength of the molecular 1 2 frequency repeated once per cycle. 3 4 If the wavelength of the laser is chosen to be one easily absorbed by the atomic structures it is 5 desired to induce to resonance, then the beam will 6 efficiently deliver the desired modulation frequency 7 to the desired molecules. The energy of the beam is 8 9 extremely low but sufficiently high to differentially 10 raise the temperature of those molecules of sufficient Q. Higher energy intensity would tend to 11 12 cause sufficient scatter even from the isolated 13 island nodes to swamp the modulation. Again the 14 result would be a general temperature increase rather 15 than the differential temperature increase of the desired molecules. 16 17 18 Higher intensity can not significantly increase the energy delivered to the desired molecules. Once the 19 20 probability of a single photon absorption at any

21 point on the molecule in a given and resonant

22 frequency cycle is exceeded, there is little

23 advantage in increasing the intensity since a second

photon will scatter without delivering more energy to 24

25 the given atom structure. The maximum temperature

26 difference that can be induced will be a function of

27 the damping factor and the Q of the resonant

28 component of the molecule. Therefore, increasing the

29 time of stimulation is pointless beyond some reasonable multiple of the known time required to

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2 initiate the reaction desired because the maximum 3 possible temperature variance will occur within a few 4 seconds. 5 The effect is therefore, only of merit in systems 6 7 where a small temperature variance can disturb the 8 equilibrium. Naturally this limits the range of 9 molecules that can be stimulated by this method. It 10 is fortunate however that many of the most usefully 11 stimulated molecules have exactly the characteristics 12 required. Most particularly the cell adhesion 13 molecules and integrins mentioned above. It should be 14 noted of course that all biological reactions occur 15 within a narrow temperature range and the progress of 16 most reactions can be varied quite significantly by 17 small temperature differences. It is of course a 18 natural consequence of light stimulation of a 19 molecular resonance that the molecular node 20 temperature of the resonant structure will coincide 21 with the maximum valence state of the atoms since 22 they are in the process of absorbing and emitting photons and so the electrons are in general at a 23 24 relatively high energy state. Naturally specific 25 photochemical reactions will be favoured and this may 26 either help or hinder the ability of the method to 27 stimulate a specific desired reaction depending on 28 the proximity of unwanted photochemical reaction 29 sites to the resonant stimulated sites. In designing

a specific stimulus these factors should be taken

into account along with the equilibrium state and the 1 2 pH. 3 As stated above cell adhesion molecules and human 4 integrins such as Alpha 4 Beta 1 are ideally suited 5 for excitation to chemical activity by this method. 6 7 The stimulation of cell adhesion molecules and 8 integrins moderates a number of extremely useful 9 10 biological processes. Not least of these is cell 11 adhesion itself. It is obviously beneficial to 12 stimulate the adhesion molecules of a carcinoma as 13 the cell adhesion of carcinomas is relatively depressed and enhancing the adhesion serves to reduce 14 the probability of metastasis. Such an effect would 15 be especially beneficial prior to the excision of a 16 17 tumour, reducing the likelihood of surgically 18 shedding carcinoma cells into the blood or lymph system. The cell adhesion process and the integrins 19 20 especially Alpha 4 Beta 1 and Alpha 4 Beta 2 are 21 responsible not only for adhesion but also cell 22 recognition. 23 24 Bissel and Weaver have shown that by chemical 25 inhibition of adhesion sites of Alpha 4 Betal, the 26 cell recognition can be moderated. It is therefore 27 possible to reduce an undifferentiated carcinoma cell 28 to its phenotype by correctly moderating the adhesion 29 reaction. The method used by Bissel and Weaver is

practical for in vitro application and can be used as 1 described in their patent for the measurement of 2 response to chemotherapy but it can not practically 3 be used in vivo. Conversely the laser radiation 4 method can be used in vivo and because of the 5 extremely low energies it is inherently safe at least 6 in terms of the radiation used. Care must of course 7 be taken to ensure that the stimulation delivered 8 will have a desirable consequence and much work is 9 10 needed to determine both the chemical responses that are most easily stimulated and which of those are 11 12 desirable in a given case. 13 Gradually a library of reaction responses susceptible 14 to the stimulation will be developed from theory and 15 experiment and this library will be used to define a 16 range of reactions that are both of clinical use and 17 practical to stimulate. To date we have demonstrated 18 the stimulation of adhesion in leukocytes and neural 19 carcinomas. We have demonstrated substantial 20 moderation of cell surface chemistry in the prostate 21 22 gland. 23 This shows promise in the treatment of various 24 25 carcinomas. Stimulation of cell adhesion and recognition alters the metabolism of the carcinoma 26 27 and causes induced, spontaneous apoptosis as a result of undifferentiated cells communicating sufficiently. 28

This in turn causes the natural apoptosis of

| 1              | undifferentiated cells in an undifferentiated         |
|----------------|---|
| 2              | environment. We have substantial evidence that like   |
| 3              | Bissel and Weaver we have observed the reduction to   |
| 4              | phenotype of undifferentiated cells and leukocytes.   |
| 5              |   |
| 6              | Wayner US5730978 has shown an integrin-moderated      |
| 7              | process which suggests that the method may have       |
| 8              | application in the treatment of auto-immune diseases  |
| 9              | and in the manipulation of the immune response in     |
| 10             | general.  |
| 11             |   |
| 12             | In vitro, the method can be used to alter the         |
| 13             | chemistry of a variety of proteins and simple amino   |
| 14             | acid structures in a manner that may be useful in the |
| 1.5            | production of pharmaceutical compounds and nutrition  |
| 16             | products. Since the polar and hydrophobic components  |
| 17             | of molecules have substantially different electron    |
| 18             | populations, Quantum Electrodynamics (QED) shows that |
| 19             | these components differentially absorb energy from    |
| 20             | photons. Coupled with a modulation frequency close to |
| 21             | one of the major axes of a given molecule, modulated  |
| 22             | laser stimulation can be used to increase the         |
| 23             | homogeneity of a population of proteins or simple     |
| 24             | amino acid structures. This can be highly             |
| 25             | advantageous since the metabolic absorption of amino  |
| 26             | acid structures is moderated in vivo by shape         |
| ^ <del>-</del> |   |

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- 1 If a simple amino acid nutrient is made homogeneous
- 2 the number of enzymes required to metabolise the
- 3 nutrient is reduced. Again the cascade effect of cell
- 4 chemistry means that such a reduction in the
- 5 complexity of a particular chemical process can
- 6 dramatically increase the speed of absorption
- 7 sometimes by several orders of magnitude since the
- 8 required enzyme population is far more rapidly
- 9 manufactured. This is of critical importance in many
- 10 simple amino acid nutrients since they have a limited
- 11 life before they are broken down by incidental
- 12 chemical effects before they can deliver the required
- 13 effect to the target cells.

- 15 Under ideal conditions it will be possible to order
- 16 the folding of a protein to the desired biological
- 17 form by successive stimulation of suitable resonant
- 18 frequencies and the differential polar and
- 19 hydrophobic absorption of photons. Again the
- 20 application of a suitable modulated beam to a
- 21 sufficient volume of protein by conventional means
- 22 would be impossible as result of the scattering of
- 23 the light. The sparse constructive node beam
- 24 disclosed in the present application makes the
- 25 delivery of the required modulation a practical
- 26 possibility. A suitable array of the disclosed sparse
- 27 constructive node beams could be arranged on a
- 28 conveyor passing the proteins or simple amino
- 29 structures sequentially under the various modulation

| 1  | frequencies designed to favour each of the desired    |
|----|---|
| 2  | folding steps.  |
| 3  |   |
| 4  | Clearly much research would be required to determine  |
| 5  | what modulations would be required to produce a       |
| 6  | desired protein shape and it may be that in practice  |
| 7  | very few proteins can be usefully manipulated in this |
| 8  | way. Such research is not within the scope of this    |
| 9  | application; rather this application discloses a      |
| 10 | method and apparatus capable of moderating aspects of |
| 11 | the folding process of proteins in a manner that can  |
| 12 | be applied to a bulk mass for the first time. It is   |
| 13 | extremely likely that a range of practical protein    |
| 14 | structures can be generated by this method and it has |
| 15 | been shown by experiment that a population of         |
| 16 | proteins or simple amino structures can be at least   |
| 17 | made homogeneous which as mentioned above is useful   |
| 18 | in itself.  |
| 19 |   |
| 20 | In this regard it should be noted that the rotational |
| 21 | polarisation of the light source would cause          |
| 22 | differential absorption of energy depending on the    |
| 23 | "handedness" of a given molecular structure. In       |
| 24 | addition, if the beam is modulated at the resonance   |
| 25 | of a given structure, it is possible to either        |
| 26 | enhance the production of one rotation of a molecule  |
| 27 | versus the other. At slightly higher energy it is     |
| 28 | possible to cause the destruction by a separate       |
| 29 | chemical process of one or other rotation by          |

differentiating the temperature and therefore the 1 reactivity of one rotation versus the other. This is 2 a particularly useful application of the method as 3 many drugs and nutrients depend on only one form of 4 the molecule being present. 5 6 In this case of course the maximum Feynman path must 7 be very much shorter and so the maximum depth that 8 rotational polarisation effects would occur would be 9 10 no greater than a few millimetres in a typically scattering medium. Hitherto no simple practical 11 method has existed to purify a population of 12 molecules to one or other rotation. The method 13 disclosed here provides a means of operating on bulk 14 15 media to generate a homogeneous single rotation population or to allow a chemical process to 16 preferentially destroy one rotation relative to the 17 other in a mixed population of molecules. 18 19 The chemical consequences discussed herein of 20 21 molecular stimulation by sparse constructive node 22 techniques result primarily from the repeated 23 acceptance and release of photons by atoms at the resonant frequency of the local atomic bonds or local 24 25 There is a secondary effect on certain structure. molecular forms such as tetrahedral which can be 26 induced to spin provided the effective pulse length 27 28 is sufficiently short.

- 1 While the sparse constructive interference beam is
- 2 the primary thrust of the present application, it is
- 3 worth noting that the Hamiltonian solution to
- 4 Maxwell's equations suggest that cancelled light,
- 5 although carrying no energy in the conventional sense
- 6 in that it can not interact by conventional Quantum
- 7 Electrodynamics (QED) processes may have an effect on
- 8 the permittivity of free space and some theorists
- 9 suggest an effect on the strong nuclear force.
- 10 However since it can not scatter by QED effects this
- 11 has no detrimental affect on the efficiency of the
- 12 sparse constructive interference modulation and it
- 13 could be argued that the permittivity and nuclear
- 14 absorption effect, should it exist, would tend to
- 15 enhance the efficiency of the modulated frequency
- 16 coupling to the molecule. It should be noted that the
- 17 presence of the Hamiltonian effect has never been
- 18 satisfactorily proven and many theorists discount its
- 19 existence as a mere mathematical oddity, however we
- 20 note it here simply to point out that the effect
- 21 would tend to enhance rather than degrade the benefit
- 22 of the sparse constructive in interference effect.
- 23 The apparatus by its nature can therefor be used as a
- 24 means of delivering such a theoretical modulated
- 25 Hamiltonian "scalar" wave.

- 27 Figs. 2 to 8 illustrate elements of the foregoing in
- 28 more detail.

- 1 Fig. 2 shows the sparse constructive interference
- 2 effect from a 1 percent bandwidth cancellation plate
- 3 of 5 mm aperture. Black represents constructive
- 4 nodes.
- 5 Fig. 3 shows the same sparse constructive
- 6 interference in a scattering medium showing minimal
- 7 degradation of the effect and an increased path width
- 8 of majority destructive interference.

- 10 Figs. 4 and 5 show typical Cell Adhesion Molecules.
- 11 Both would have two primary resonances a high Q
- 12 resonance between the main elements at a relatively
- 13 low frequency and a higher frequency lower Q
- 14 resonance between the lobes of each element. The
- 15 molecule in Fig. 4 has a higher frequency resonance
- 16 between the main elements as it has some backbone
- 17 structure between the main elements.

- 19 Fig. 6 shows a human integrin molecule which will
- 20 have a single substantial high Q resonance defined by
- 21 the mass of the two main elements and the compliance
- 22 of the single backbone structure between the
- 23 elements. This molecule is extremely easy to resonate
- 24 sufficiently to moderate reactions and was the first
- 25 molecule to be successfully manipulated by the method
- 26 disclosed. This allowed an in vitro demonstration of
- 27 cell adhesion stimulated by laser stimulation
- 28 through a sparse constructive node cancellation
- 29 optical device. "Tracks" of adhered cell chains could

be generated in the beam path of the device in a 1 population of cells with substantially reduced 2 expression of the integrin and generally little 3 adhesion in the absence of the beam. 4 5 Fig. 7 shows the zinc "fingerlike" structure of the 6 7 GAG protein in the HIV virus. Again the molecule shows the easily resonated dual element with 8 compliant single backbone bridge. This molecule is 9 much smaller and requires a higher energy and 10 resonant frequency. It was successfully resonated 11 12 with 470nm light using the method disclosed. It 13 should be noted that the chemical conditions around a small viral particle are far harder to control or 14 predict and variable results are to be expected. Even 15 so substantial alterations in the processes of the 16 17 viral coat were observed and the viral penetration of 18 a cell population could be substantially altered. 19 20 Fig. 8 shows a typical laser diode spectrum, with a 21 typical cancelled portion of the spectrum and the depth of the modulation that can be induced without 22 23 causing the nodes to spill outside the cancellation 24 zone and complicate the beat frequency pattern. 25 Different laser designs have different resonant modes 26 and these can be selected to obtain the most useful 27 range for a given application. Bragg gratings can be 28 used to stabilise the laser emission line and expand

the modulation amplitude that can be used while

- 1 keeping the overall frequency shift within the
- 2 required boundary. Lasers can be pulsed with short
- 3 duration pulses, which will produce an isolated
- 4 traverse though the frequency mode of the laser and
- 5 this can be determined to a high degree of
- 6 repeatability. If a Bragg grating is used with a
- 7 pulse laser the resulting frequency modulated pulse
- 8 will have a very high degree of control. The
- 9 combination of the short laser pulse and the rapid
- 10 resulting traverse of the sparse constructive nodes
- 11 means that a given point in the volume in front of
- 12 the laser will be exposed to extremely short (sub
- 13 picosecond) duration pulses. There are several
- 14 applications for such short pulses and conventional
- 15 methods for short pulse generation are relatively
- 16 costly.

#### 1 CLAIMS

2

- 3 1. Apparatus for the stimulation of molecular
- 4 resonance by the application of very low intensity
- 5 electromagnetic radiation, comprising a laser of
- 6 multiple line cavity resonance consisting of a laser
- 7 diode with a collimated or near collimated beam, said
- 8 beam being passed through a phase cancellation
- 9 optical element having the characteristic of
- 10 cancelling several of the central lines of the laser
- 11 frequency while leaving the higher and lower
- 12 frequencies generally uncancelled such that the beat
- 13 frequency of the passed frequencies forms a pattern
- 14 of interference of constructive and destructive nodes
- in which the diameter of the beam is set to be a
- 16 sufficiently low multiple of the wavelength of the
- 17 beat frequency to allow a substantial Fresnel zone to
- 18 be apparent in the beam and in which an aperture is
- 19 provided to select a portion of the Fresnel zone
- 20 wherein a substantial majority of destructive nodes
- 21 are apparent relative to the constructive nodes and
- 22 in which means are provided to modulate the laser
- 23 frequency.

24

- 25 2. Apparatus as claimed in Claim 1, wherein the
- 26 laser frequency is varied by adjusting the current on
- 27 a laser diode.

Apparatus as claimed in Claim 1 or Claim 2 1 2 wherein the laser frequency is varied by physical 3 alteration of a secondary cavity such as a crystal 4 provided to double the primary frequency. 5 Apparatus as claimed in any of the preceding 6 Claims wherein the modulation frequency is a harmonic 7 of the beat frequency. 8 9 10 5. Apparatus as claimed in any of the preceding Claims wherein the modulation frequency is a harmonic 11 12 of a specific molecular resonance. 13 Apparatus as claimed in any of the preceding 14 6. Claims wherein the aperture or angle of the beam 15 16 passage through the cancellation device may be varied 17 consequently varying the beat frequency. 18 Apparatus as claimed in any of the preceding 19 20 Claims wherein the selected portion of the beam may 21 be varied to alter the balance between constructive 22 and destructive nodes. 23 Apparatus as claimed in any of the preceding 24 8. 25 Claims wherein the means for modulating the laser

26

27

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frequency is the consequential mode transition of a

laser diode in pulse mode.

Apparatus as claimed in Claim 8 where the laser 2 diode mode is held within bounds by reflection from a 3 4 Bragg grating so that the modulation of the Fresnel 5 zone nodes is a consequence of the Fourier transform of the pulse. 6 7 10. A method of stimulation of molecular resonance 8 9 by the application of very low intensity 10 electromagnetic radiation modulated at resonant 11 frequencies of molecules of high Q by use of a laser 12 of multiple line cavity resonance consisting of a laser diode with a collimated or near collimated 13 14 beam, said beam being passed through a phase 15 cancellation optical element said cancellation device 16 having the characteristic of cancelling several of 17 the central lines of the laser frequency while 18 leaving the higher and lower frequencies generally 19 uncancelled such that the beat frequency of the 20 passed frequencies forms a pattern of interference of 21 constructive and destructive nodes, in which method 22 the diameter of the beam is set to be a sufficiently 23 low multiple of the wavelength of the beat frequency 24 to allow a substantial Fresnel zone to be apparent in 25 the beam and in which an aperture is provided to 26 select a portion of the Fresnel zone wherein a 27 substantial majority of destructive nodes are 28 apparent relative to the constructive nodes and in

frequency.

29

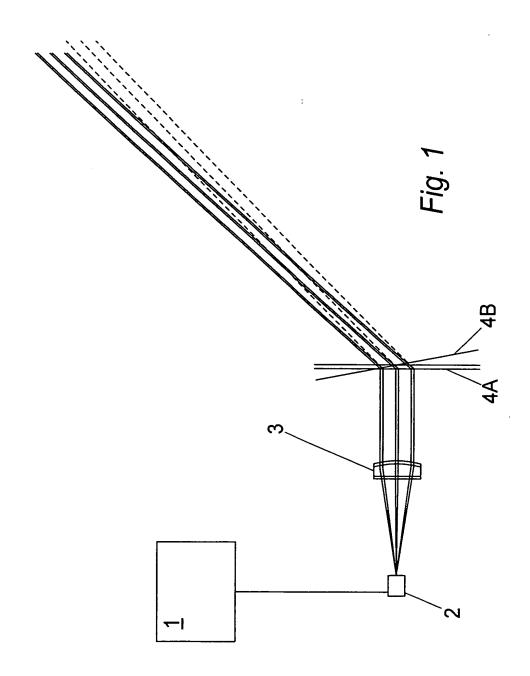
30

which means are provided to modulate the laser

| 1 | 1 |  |
|---|---|--|

- 2 11. Apparatus for the production of sub picosecond
- 3 light pulses, the apparatus comprising a laser
- 4 producing a collimated or near collimated beam, a
- 5 phase cancellation optical element through which said
- 6 beam is passed, said phase cancellation optical
- 7 element being formed by the series combination of a
- 8 first diffraction grating, a refractive element and a
- 9 second diffraction grating, whereby a pattern of
- 10 interference of constructive and destructive nodes is
- 11 formed in which the diameter of the beam is set to be
- 12 a sufficiently low multiple of the wavelength of the
- 13 beat frequency to allow a substantial Fresnel zone to
- 14 be apparent in the beam, the apparatus further
- 15 including means for pulsing the laser with short
- 16 duration pulses to produce for each pulse an isolated
- 17 traverse through the frequency mode of the laser.

| 1  | ABSTRACT (Fig. 1)                                     |
|----|---|
| 2  |   |
| 3  | This invention provides an apparatus comprising a     |
| 4  | laser diode (2) whose wavelength is modulated by an   |
| 5  | amplitude modulator (1). The laser output is          |
| 6  | collimated by a lens (3) and passed through an        |
| 7  | optical element (4) which contains two diffraction    |
| 8  | gratings spaced by a refractive element. The          |
| 9  | resulting output contains an interference pattern     |
| 10 | which can be selected and controlled to interact with |
| 11 | chosen molecules so as to induce molecular resonance. |



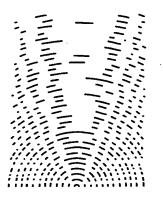


Fig. 2

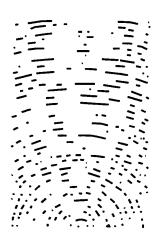


Fig. 3

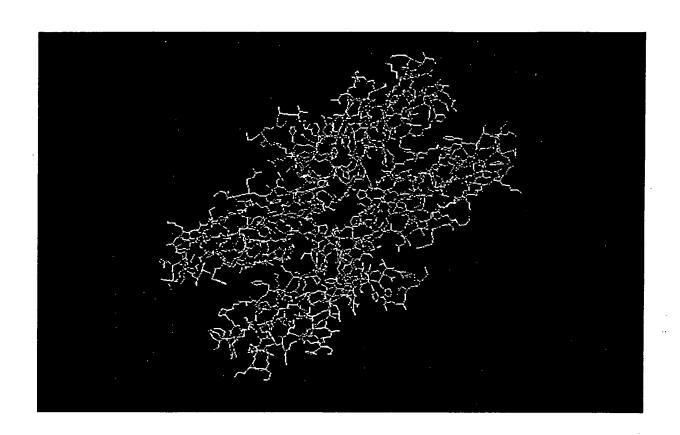


Fig. 4

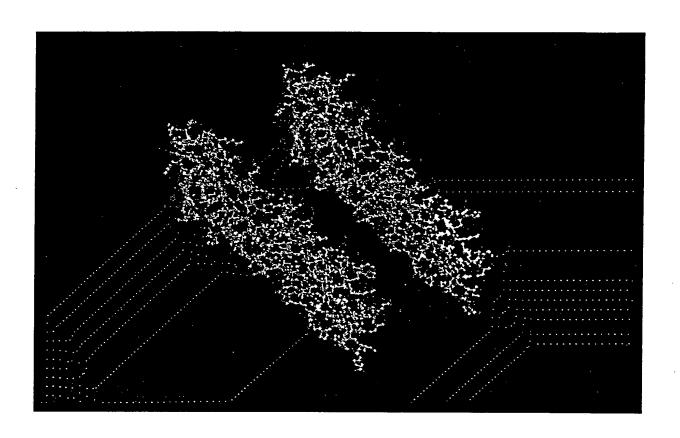


Fig. 5

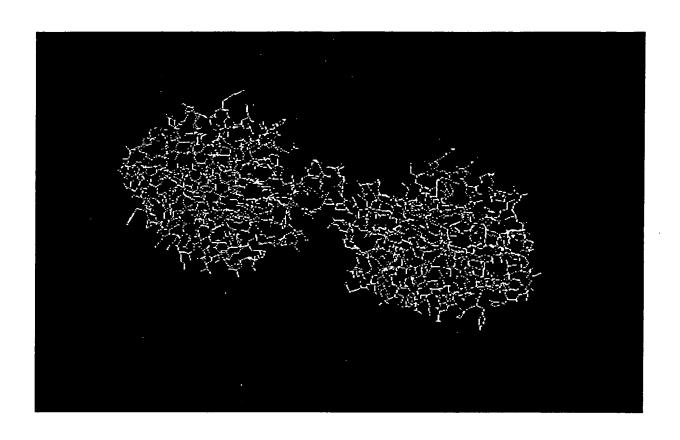


Fig. 6

Programme Community

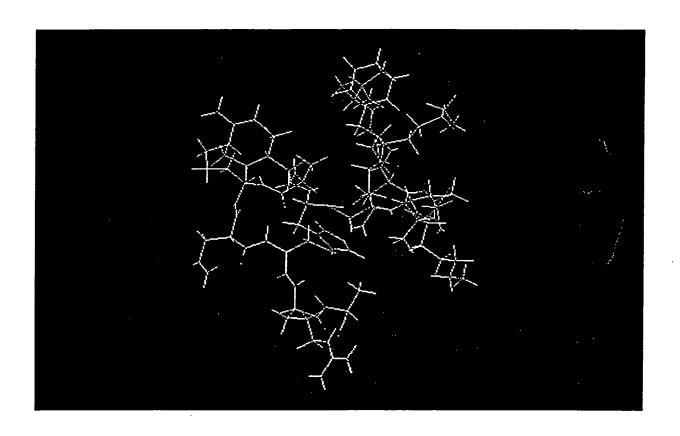


Fig. 7

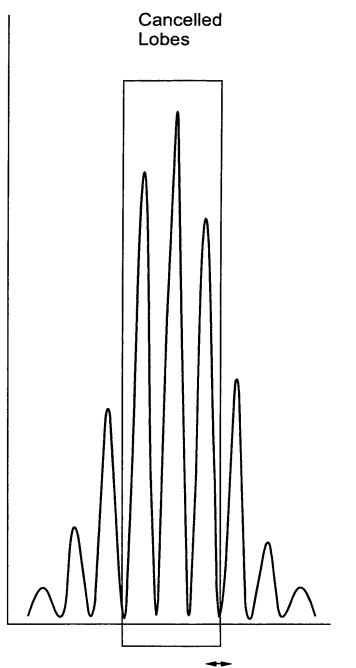


Fig. 8

# PATENT COOPERATION TREATY

# **PCT**

# INTERNATIONAL SEARCH REPORT

| REC'D | 1 2 DEC 2000 |
|-------|--------------|
| WIPC  | PCT          |

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference FOR FURTHER see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below. |   |  |
|---|---|--|
| P24404/PPP  | ACTION  | <u> </u>   |
| International application No.   | International filing date (day/month/year) (Earliest) Priority Date (day/month/year)  |  |
| PCT/GB 00/03280   | 29/08/2000  | 28/08/1999   |
| Applicant   |   |  |
| STRACHAN, John, Scott   |   |  |
| This International Search Report has beer according to Article 18. A copy is being tra  | n prepared by this International Searching Auth<br>Insmitted to the International Bureau.   | ority and is transmitted to the applicant  |
| This International Search Report consists  It is also accompanied by  | of a total of sheets. a copy of each prior art document cited in this   | report.  |
| Basis of the report   |   |  |
|   | international search was carried out on the bas<br>ess otherwise indicated under this item.   | is of the international application in the   |
| the international search w<br>Authority (Rule 23.1(b)).   | as carried out on the basis of a translation of th  | ne international application furnished to this   |
| b. With regard to any <b>nucleotide an</b> was carried out on the basis of the  |   | ternational application, the international search  |
| filed together with the inte  | rnational application in computer readable forn   | n.   |
|   | this Authority in written form.   |  |
| · '   | this Authority in computer readble form.  | one not go beyond the disclosure in the  |
|   | sequently furnished written sequence listing do<br>s filed has been furnished.  | bes not go beyond the disclosure in the  |
| the statement that the info furnished   | ormation recorded in computer readable form is  | s identical to the written sequence listing has been                                     |
| 2. Certain claims were fou  | nd unsearchable (See Box I).  |  |
| 3. Unity of invention is lac  | king (see Box II).  |  |
| 4. With regard to the <b>title</b> ,  |   |  |
| the text is approved as su  |   |  |
|   | hed by this Authority to read as follows:<br>FIMULATED BY LOW INTENSITY I   | ASER LIGHT   |
| HOLEGOLAN RESUMANCE S   | ITHOUNTED BY LOW INTENSITY (  | LASER EIGHT  |
|   |   |  |
| 5. With regard to the abstract,   | shmitted by the applicant   |  |
| the text is approved as su<br>the text has been establis<br>within one month from the   | iomitted by the applicant.<br>hed, according to Rule 38.2(b), by this Authori<br>a date of mailing of this international search rep | ty as it appears in Box III. The applicant may, port, submit comments to this Authority. |
| 6. The figure of the <b>drawings</b> to be publ   | ished with the abstract is Figure No.   | 1  |
| as suggested by the appli   | cant.   | None of the figures.   |
| because the applicant fail  |   |  |
| because this figure better  | characterizes the invention.  |  |

Intern all Application No

Patent family members are listed in annex.

A. CLASSIFICATION OF SUBJECT MATERIAL TO THE PROPERTY OF THE P

According to International Patent Classification (IPC) or to both national classification and IPC

### **B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61N G02B H01S

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

# EPO-Internal

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages                                      | Relevant to claim No. |
|------------|---|-----------------------|
| A          | WO 97 22022 A (STRACHAN JOHN SCOTT) 19 June 1997 (1997-06-19) cited in the application page 4, line 33 -page 9, line 10 | 1,10,11               |
| Α          | US 5 658 234 A (DUNLAVY JOHN HAROLD)<br>19 August 1997 (1997-08-19)<br>column 2, line 28 - line 67                      | 1                     |
| A          | US 4 834 474 A (GEORGE NICHOLAS ET AL)<br>30 May 1989 (1989-05-30)<br>abstract  | 1,10,11               |
| Α          | US 4 536 883 A (CHAPLINE JR GEORGE F) 20 August 1985 (1985-08-20) column 1, line 32 - line 50                           | 1,10,11               |

| ° Special categories of cited documents :  | "T" later document published after the international filing date   |
|--|--|
| A' document defining the general state of the art which is not considered to be of particular relevance B' earlier document but published on or after the international filing date                              | or priority date and not in conflict with the application but<br>cited to understand the principle or theory underlying the<br>invention  'X' document of particular relevance; the claimed invention                                    |
| L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)   | cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone  "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the |
| <ul> <li>'O' document referring to an oral disclosure, use, exhibition or other means</li> <li>'P' document published prior to the international filing date but later than the priority date claimed</li> </ul> | document is combined with one or more other such docu-<br>ments, such combination being obvious to a person skilled<br>in the art.  *&* document member of the same patent family  |
| Date of the actual completion of the international search  | Date of mailing of the international search report   |
| 5 December 2000  | 12/12/2000   |
| Name and mailing address of the ISA  | Authorized officer   |
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016  | Petter, E  |

Further documents are listed in the continuation of box C.

.. \_rmation on patent family members

Intern 1al Application No
PCT/GB 00/03280

| Patent document cited in search report |   | Publication date | Patent family member(s)                                      | Publication date                                     |
|--|---|------------------|--|--|
| WO 9722022                             | Α | 19-06-1997       | AU 7704296 A<br>CA 2239833 A<br>EP 0865618 A<br>US 6064500 A | 03-07-1997<br>19-06-1997<br>23-09-1998<br>16-05-2000 |
| US 5658234                             | A | 19-08-1997       | NONE   |  |
| US 4834474                             | Α | 30-05-1989       | NONE   |  |
| US 4536883                             | Α | 20-08-1985       | NONE   |  |
|  |   |                  |  |  |



# INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant'             | 's or age          | nt's file reference   | 1  | 0. 11. 12. 11. 11.   |
|------------------------|--------------------|---|--|--|
| P24404                 | _                  |   | FOR FURTHER ACTION   | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)                      |
| Internation            | nal appli          | cation No.  | International filing date (day/monti   | h/year) Priority date (day/month/year)   |
| PCT/GE                 | 300/03             | 280   | 29/08/2000   | 28/08/1999   |
| Internation<br>A61N5/0 |                    | nt Classification (IPC) or nat                                | tional classification and IPC  |  |
| Applicant              |                    |   |  |  |
| STRACI                 | HAN, J             | John Scott  |  |  |
|                        |                    | tional preliminary examil<br>mitted to the applicant ac       |  | d by this International Preliminary Examining Authority  |
| 2. This                | REPOR              | RT consists of a total of                                     | 5 sheets, including this cover sl  | heet.  |
| t<br>(                 | been an<br>(see Ru | nended and are the basi                                       | is for this report and/or sheets on the Administrative Instruction 17 of the Administ | e description, claims and/or drawings which have ontaining rectifications made before this Authority ons under the PCT). |
| 3. This                | report o           | contains indications relati                                   | ing to the following items:  |  |
| i                      |                    | Basis of the report   |  |  |
| 11                     |                    | Priority  |  |  |
| 111                    |                    |   | •  | entive step and industrial applicability   |
| V                      |                    |   |  | novelty, inventive step or industrial applicability;   |
| VI                     | _                  | Certain documents cited                                       | •  | •  |
| VII                    |                    | Certain defects in the inte                                   | ernational application   |  |
| VIII                   |                    |   | the international application  |  |
| Date of sub            | mission            | of the demand   | Date of co   | ompletion of this report   |
| 27/02/20               | 01                 |   | 09.11.200  | 01   |
|                        | examini            | address of the international ing authority: ean Patent Office | Authorize  | d officer  |
| <i>)</i>               | D-802              | 98 Munich<br>49 89 2399 - 0 Tx: 523656 e                      | Abrahar  | m, V   |
|                        |                    | 49 89 2399 - 4465   | `. I   | 0 No. 140 90 2300 7463   |



| l. Bas | is of | th | r | р | rt |
|--------|-------|----|---|---|----|
|--------|-------|----|---|---|----|

|    | th<br>ai    | ne receiving Office in   | ments of the international application (Replacement sheets which have been furnished to response to an invitation under Article 14 are referred to in this report as "originally filed" to this report since they do not contain amendments (Rules 70.16 and 70.17)): |  |  |  |
|----|-------------|--|---|--|--|--|
|    | 1-          | 22   | as originally filed   |  |  |  |
|    | C           | aims, No.:   |   |  |  |  |
|    | 1-          | 11   | as originally filed   |  |  |  |
|    | Dr          | awings, sheets:  |   |  |  |  |
|    | 1/7         | 7-7/7  | as originally filed   |  |  |  |
|    |             |  |   |  |  |  |
| 2  | . Wi<br>lan | th regard to the <b>lang</b><br>guage in which the i   | uage, all the elements marked above were available or furnished to this Authority in the nternational application was filed, unless otherwise indicated under this item.  |  |  |  |
|    | Th          | ese elements were a  | vailable or furnished to this Authority in the following language: , which is:  |  |  |  |
|    |             | the language of a t  | ranslation furnished for the purposes of the international search (under Rule 23.1(b)).   |  |  |  |
|    |             |  | blication of the international application (under Rule 48.3(b)).  |  |  |  |
|    |             |  | ranslation furnished for the purposes of international preliminary examination (under Rule  |  |  |  |
| 3. | Wit         | h regard to any <b>nucl</b><br>ernational preliminary  | eotide and/or amino acid sequence disclosed in the international application, the examination was carried out on the basis of the sequence listing:   |  |  |  |
|    |             | contained in the inte  | ernational application in written form.   |  |  |  |
|    |             | filed together with the  | ne international application in computer readable form.   |  |  |  |
|    |             | furnished subseque   | ntly to this Authority in written form.   |  |  |  |
|    |             | furnished subseque   | ntly to this Authority in computer readable form.   |  |  |  |
|    |             | The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished. |   |  |  |  |
|    |             | The statement that listing has been furn   | the information recorded in computer readable form is identical to the written sequence<br>nished.  |  |  |  |
| 4. | The         | amendments have r  | esulted in the cancellation of:   |  |  |  |
|    |             | the description,   | pages:  |  |  |  |
|    |             | the claims,  | Nos.:   |  |  |  |



International application No. PCT/GB00/03280

|            |            | the drawings,  | sheets:  |
|------------|------------|--|--|
| 5          | . 🗆        | This report has been considered to go bey                              | established as if (some of) the amendments had not been made, since they have been ond the disclosure as filed (Rule 70.2(c)):   |
|            |            | (Any replacement sh<br>report.)  | eet containing such amendments must be referred to under item 1 and annexed to this  |
| 6.         | . Ad       | ditional observations, i   | necessary:   |
| m          | . No       | n-establishment of o <sub>l</sub>                                      | pinion with regard to novelty, inventive step and industrial applicability   |
| 1.         | The<br>obv | e questions whether the vious), or to be industri                      | e claimed invention appears to be novel, to involve an inventive step (to be non-<br>ally applicable have not been examined in respect of:                             |
|            |            | the entire international   | l application.   |
|            | Ø          | claims Nos. 1-11.  |  |
| <b>b</b> a | :          |  |  |
| DE         | caus       | se:  |  |
|            | ×          | the said international<br>not require an interna<br>see separate sheet | application, or the said claims Nos. 10 relate to the following subject matter which does tional preliminary examination ( <i>specify</i> ):                           |
|            | ×          | the description, claims<br>unclear that no meani<br>see separate sheet | s or drawings ( <i>indicate particular elements below</i> ) or said claims Nos. 1-9,11 are so ngful opinion could be formed ( <i>specify</i> ):                        |
|            |            | the claims, or said cla  | ms Nos. are so inadequately supported by the description that no meaningful opinion  |
|            |            | no international search  | report has been established for the said claims Nos  |
| 2.         | and/       | eaningful international<br>'or amino acid sequend<br>ructions:         | preliminary examination cannot be carried out due to the failure of the nucleotide e listing to comply with the standard provided for in Annex C of the Administrative |
|            |            | the written form has no  | ot been furnished or does not comply with the standard.  |
|            |            |  | form has not been furnished or does not comply with the standard.  |

## Ш

- 1. According to Article 34(4)(a)(i) PCT and Rule 67.1 PCT no international preliminary examination is required to be carried out on claim 10 of the present application, because the subject-matter of this claim relates to a method for treatment of the human or animal body by therapy (see page 4, lines 16-26).
- 2. The set of claims does not meet the requirements of Article 6 PCT. Although claims 1 and 11 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter. The set of claims as a whole therefore lacks conciseness. Moreover, lack of clarity arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought and, consequently, no further examination of claims 1-9,11 with regard to novelty and inventive step can be carried out. The claims should have been redrafted to contain a single independent claim.
- 3. An examination of claims 1-9,11 with regard to novelty and inventive step is furthermore not possible, because of the following severe clarity objections:
- 3.1 According to the description (page 4, lines 28 -page 5, line 7) it is a critical and essential requirement of the present invention that the intensity of the electromagnetic radiation is "very low", in order to selectively stimulate desired molecules.
  - However, the term "very low intensity" used in claim 1 and implicitly also in claim 11 is vague and unclear, has no well-recognised meaning and leaves the reader in doubt as to the meaning of the technical feature to which it refers, thereby rendering the definition of the subject-matter of said claims unclear (Article 6 PCT).

Since no definition of this unclear term is to be found in the specification of the present application, the invention does not appear to be disclosed in a manner sufficiently clear and complete for the invention to be carried out by a person skilled in the art. Therefore, an objection according to Article 5 PCT may be raised unless the applicant proofs, that the intensity necessary for achieving the desired effect is derivable from the disclosure of the present application without the exercise of inventive skill.

3.2 Claims 1 and 11 further do not meet the requirements of Article 6 PCT in that the matter for which protection is sought is not clearly defined. The claims attempt to define the subject-matter in terms of the result to be achieved which merely amounts to a statement of the underlying problem ("the diameter of the beam is set to be a sufficiently low multiple of the wavelength of the beat frequency to allow a substantial Fresnel zone to be apparent"). The technical features necessary for achieving this result should be added. This does not appears to be possible, because the result itself, namely the term "substantial Fresnel zone", is vague and unclear.

Again, no clarification is to be found in the specification of the present application, and the invention does one more time not appear to be disclosed in a manner sufficiently clear and complete for the invention to be carried out by a person skilled in the art. A second objection according to Article 5 PCT may be raised unless the applicant proofs, that the beam diameter necessary for achieving the desired effect is derivable from the disclosure of the present application without the exercise of inventive skill.



# PATENT COOPERATION TREATY PCT

# **INTERNATIONAL SEARCH REPORT**

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference  | FOR FURTHER   |                                |   | national Search Report<br>applicable, item 5 below. |
|--|---|--------------------------------|---|---|
| P24404/PPP International application No.   | ACTION International filing date (da  | av/month/vear)                 | (Earlinet) Priority (                         | Date (day/month/year)                               |
|  |   |                                | , ,   | • •   |
| PCT/GB 00/03280  | 29/08/20  | 000                            | 28.   | /08/1999  |
| Applicant  | ·· <del>-</del>   |                                |   |   |
| STRACHAN, John, Scott  |   |                                |   |   |
| This International Search Report has bee according to Article 18. A copy is being tr |   |                                | ority and is transmitt                        | ed to the applicant                                 |
| This International Search Report consists  It is also accompanied by                 | of a total of2<br>a copy of each prior art doc  | sheets.<br>ument cited in this | report.                                       |   |
| 1. Basis of the report   |   |                                |   |   |
| a. With regard to the language, the language in which it was filed, un               |   |                                | is of the internationa                        | I application in the                                |
| the international search v<br>Authority (Rule 23.1(b)).                              | vas carried out on the basis o  | of a translation of th         | ne international appli                        | cation furnished to this                            |
| b. With regard to any <b>nucleotide ar</b> was carried out on the basis of th        |   | disclosed in the in            | ternational applicatio                        | n, the international search                         |
| · =  | onal application in written for   |                                |   |   |
| I H . '  | ernational application in com   | •                              | ٦.  |   |
|  | this Authority in written form  |                                |   |   |
|  | furnished subsequently to this Authority in computer readble form.  the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the |                                |   |   |
|  | as filed has been furnished.  | sequence risting do            | bes not go beyond th                          | le disclosure in the                                |
| the statement that the inf furnished   | ormation recorded in compu  | ter readable form is           | identical to the writt                        | en sequence listing has been                        |
| 2. Certain claims were fou   | ind unsearchable (See Box   | I).                            |   |   |
| 3. Unity of invention is lac   | king (see Box II).  |                                |   |   |
| 4. With regard to the title,   | •   |                                |   |   |
| the text is approved as s  | ubmitted by the applicant.  |                                |   |   |
| l board  | shed by this Authority to read  |                                |   |   |
| MOLECULAR RESONANCE S  | TIMULATED BY LOW  | INTENSITY L                    | ASER LIGHT                                    |   |
|  |   |                                |   | •   |
| 5. With regard to the abstract,  | the selection of the second second  |                                |   |   |
| the text has been establis   | ubmitted by the applicant.<br>shed, according to Rule 38.2<br>e date of mailing of this inten   | (b), by this Authorit          | ry as it appears in Bo<br>ort, submit comment | x III. The applicant may, is to this Authority.     |
| 6. The figure of the <b>drawings</b> to be published with the abstract is Figure No. |   |                                |   |   |
| X as suggested by the app  | •   | -                              | ń   | None of the figures.                                |
| because the applicant fai  |   |                                | لــــا  | <b>3</b>  |
| because this figure better   | r characterizes the invention.  |                                |   |   |



A. CLASSIFICATION OF SUBJECT MATTE IPC 7 A61N5/067

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## EPO-Internal

| C. DOCUMENTS CONSIDERED TO BE RELEVANT |   |                       |  |
|--|---|-----------------------|--|
| Category °                             | Citation of document, with indication, where appropriate, of the relevant passages                                      | Relevant to claim No. |  |
| A                                      | WO 97 22022 A (STRACHAN JOHN SCOTT) 19 June 1997 (1997-06-19) cited in the application page 4, line 33 -page 9, line 10 | 1,10,11               |  |
| A                                      | US 5 658 234 A (DUNLAVY JOHN HAROLD)<br>19 August 1997 (1997-08-19)<br>column 2, line 28 - line 67                      | 1                     |  |
| A                                      | US 4 834 474 A (GEORGE NICHOLAS ET AL)<br>30 May 1989 (1989-05-30)<br>abstract  | 1,10,11               |  |
| Α                                      | US 4 536 883 A (CHAPLINE JR GEORGE F) 20 August 1985 (1985-08-20) column 1, line 32 - line 50                           | 1,10,11               |  |
|  |   |                       |  |

| Further documents are listed in the continuation of box C.  | Patent family members are listed in annex.  |
|---|---|
| <ul> <li>Special categories of cited documents:</li> <li>"A" document defining the general state of the art which is not considered to be of particular relevance</li> <li>"E" earlier document but published on or after the international filing date</li> <li>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</li> <li>"O" document referring to an oral disclosure, use, exhibition or other means</li> <li>"P" document published prior to the international filing date but later than the priority date claimed</li> </ul> | <ul> <li>'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</li> <li>'X' document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone</li> <li>'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</li> <li>'&amp;' document member of the same patent family</li> </ul> |
| Date of the actual completion of the international search   | Date of mailing of the international search report  |
| 5 December 2000   | 12/12/2000  |
| Name and mailing address of the ISA   | Authorized officer  |
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL – 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016   | Petter, E   |

20-08-1985

en on patent family members

GB 00/03280 Patent document Patent family member(s) Publication Publication cited in search report date date WO 9722022 Α 19-06-1997 ΑU 7704296 A 03-07-1997 19-06-1997 CA 2239833 A EP 0865618 A 23-09-1998 US 6064500 A 16-05-2000 US 5658234 19-08-1997 NONE US 4834474 Α 30-05-1989 NONE

NONE

International Application No

US 4536883

Α